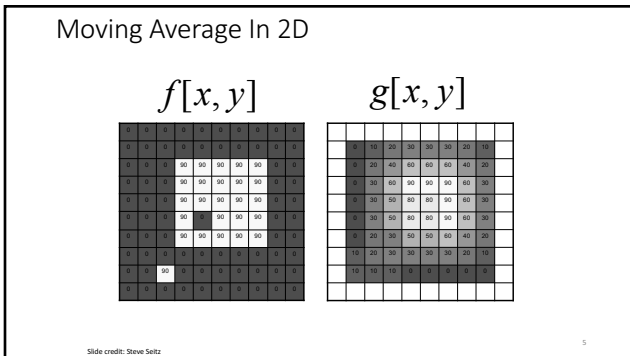
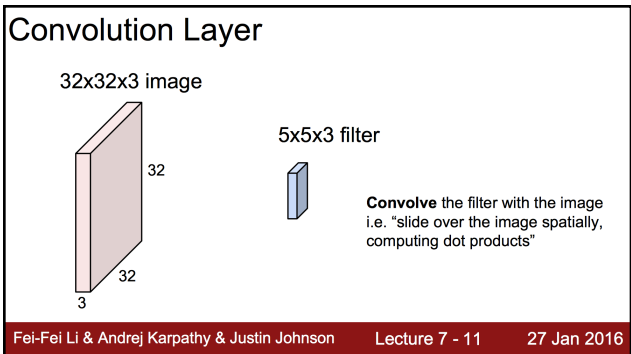
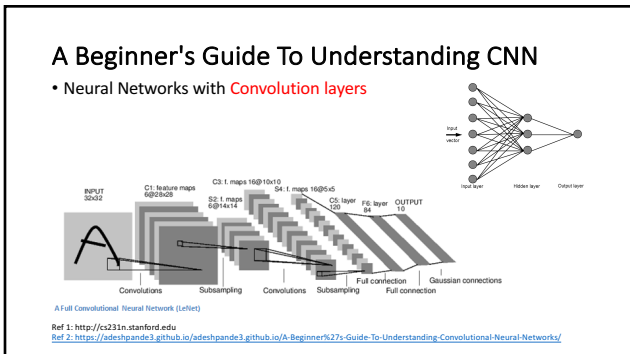


# Convolutional Neural Networks

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- ## Contents
- CNN basics
  - CNN for visual recognition (to explain the concept of convolution)
  - CNN for bioinformatics



## Correlation filtering

Say the averaging window size is  $2k+1 \times 2k+1$ :

$$g(i, j) = \frac{1}{(2k+1)^2} \sum_{u=-k}^k \sum_{v=-k}^k f(i+u, j+v)$$

Attribute uniform weight    Loop over all pixels in neighborhood around image pixel  $f[i,j]$  to each pixel

Now generalize to allow different weights depending on neighboring pixel's relative position:

$$g(i, j) = \sum_{u=-k}^k \sum_{v=-k}^k \underbrace{h(u, v)}_{\text{Non-uniform weights}} f(i+u, j+v)$$

Slide adapted from Kristen Grauman

### Correlation filtering

$$g(i, j) = \sum_{u=-k}^k \sum_{v=-k}^k h(u, v) f(i + u, j + v)$$

This is called cross-correlation, denoted  $g = h \otimes f$

Filtering an image: replace each pixel with a linear combination of its neighbors.

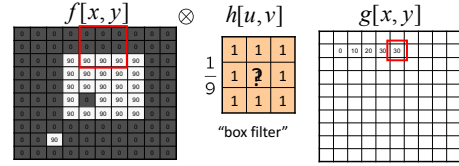
The filter "kernel" or "mask"  $h[u, v]$  is the prescription for the weights in the linear combination.

Slide credit: Michael S. Ryoo

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### Averaging filter

- What values belong in the kernel  $h$  for the moving average example?

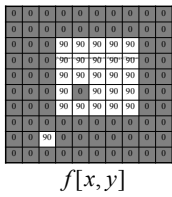


$$g = h \otimes f$$

Slide credit: Michael S. Ryoo

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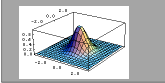
### Gaussian filter



$$\frac{1}{16} \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix} h[u, v]$$

This kernel is an approximation of a 2d Gaussian function:

$$h(u, v) = \frac{1}{2\pi\sigma^2} e^{-\frac{u^2+v^2}{2\sigma^2}}$$



Slide credit: Steve Seitz

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### Convolution

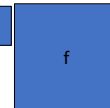
- Convolution is a simple mathematical operation which is fundamental to many common image processing operators.
- Convolution is performed by sliding the kernel over the image, generally starting at the top left corner, so as to move the kernel through all the positions where the kernel fits entirely within the boundaries of the image.

- Convolution:
  - Flip the filter in both dimensions (bottom to top, right to left)
  - Then apply cross-correlation

$$g(i, j) = \sum_{u=-k}^k \sum_{v=-k}^k h(u, v) f(i - u, j - v)$$

$$g = h * f$$

Notation for convolution operator



Slide credit: Michael S. Ryoo

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### Convolution vs. correlation

Convolution

$$g(i, j) = \sum_{u=-k}^k \sum_{v=-k}^k h(u, v) f(i - u, j - v)$$

$$g = h * f$$

Cross-correlation

$$g(i, j) = \sum_{u=-k}^k \sum_{v=-k}^k h(u, v) f(i + u, j + v)$$

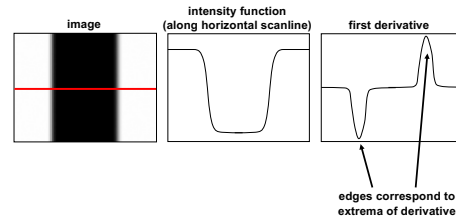
$$g = h \otimes f$$

Slide adapted from Kristen Grauman

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### Derivatives and edges

An edge is a place of rapid change in the image intensity function.



edges correspond to extrema of derivative

Slide credit: Sertan Lazebnik

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### Derivatives with convolution

For 2D function,  $f(x,y)$ , the partial derivative is:

$$\frac{\partial f(x,y)}{\partial x} = \lim_{\epsilon \rightarrow 0} \frac{f(x+\epsilon, y) - f(x,y)}{\epsilon}$$

For discrete data, we can approximate using finite differences:

$$\frac{\partial f(x,y)}{\partial x} \approx \frac{f(x+1, y) - f(x,y)}{1}$$

To implement above as convolution, what would be the associated filter?

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### Partial derivatives of an image

$\frac{\partial f(x,y)}{\partial x}$        $\frac{\partial f(x,y)}{\partial y}$

$\begin{bmatrix} -1 & 1 \end{bmatrix}$        $\begin{bmatrix} ? & ? \\ 1 & -1 \end{bmatrix}$  or  $\begin{bmatrix} 1 & -1 \\ ? & ? \end{bmatrix}$

Which shows changes with respect to x?  
(showing filters for correlation)

Slide credit: Kristen Grauman 14

### Filters as feature (edge) detectors

Prewitt:  $M_x = \begin{bmatrix} -1 & 0 & 1 \\ -1 & 0 & 1 \\ -1 & 0 & 1 \end{bmatrix}$ ;  $M_y = \begin{bmatrix} -1 & -1 & -1 \\ 0 & 0 & 0 \\ 1 & 1 & 1 \end{bmatrix}$

Sobel:  $M_x = \begin{bmatrix} -1 & 0 & 1 \\ -2 & 0 & 2 \\ -1 & 0 & 1 \end{bmatrix}$ ;  $M_y = \begin{bmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{bmatrix}$

Roberts:  $M_x = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ ;  $M_y = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$

sobel filter

Slide credit: Kristen Grauman <http://homepages.inf.ed.ac.uk/707/nmpkz/index.htm> 15

### Image gradient

The gradient of an image:

$$\nabla f = \begin{bmatrix} \frac{\partial f}{\partial x} & \frac{\partial f}{\partial y} \end{bmatrix}$$

The gradient points in the direction of most rapid change in intensity

$\nabla f = \begin{bmatrix} \frac{\partial f}{\partial x} & 0 \end{bmatrix}$        $\nabla f = \begin{bmatrix} 0 & \frac{\partial f}{\partial y} \end{bmatrix}$        $\nabla f = \begin{bmatrix} \frac{\partial f}{\partial x} & \frac{\partial f}{\partial y} \end{bmatrix}$

The **gradient direction** (orientation of edge normal) is given by:

$$\theta = \tan^{-1} \left( \frac{\frac{\partial f}{\partial y}}{\frac{\partial f}{\partial x}} \right)$$

The **edge strength** is given by the gradient magnitude

$$\|\nabla f\| = \sqrt{\left(\frac{\partial f}{\partial x}\right)^2 + \left(\frac{\partial f}{\partial y}\right)^2}$$

Slide credit: Steve Seitz 16

### Effects of noise

Consider a single row or column of the image

- Plotting intensity as a function of position gives a signal

Where is the edge?

Slide credit: Steve Seitz 17

### Effects of noise

- Difference filters respond strongly to noise
- Image noise results in pixels that look very different from their neighbors
- Generally, the larger the noise the stronger the response
- What can we do about it?

Slide credit: Michael S. Ryoo

### Solution: smooth first

Where is the edge? Look for peaks in  $\frac{\partial}{\partial x}(h * f)$

Slide credit: Kristen Grauman

### Derivative theorem of convolution

$$\frac{\partial}{\partial x}(h * f) = (\frac{\partial}{\partial x}h) * f$$

Differentiation property of convolution.

Slide credit: Steve Seitz

### Laplacian of Gaussian

Consider  $\frac{\partial^2}{\partial x^2}(h * f)$

Where is the edge? Zero-crossings of bottom graph

Slide credit: Steve Seitz

### 2D edge detection filters

Gaussian  $h_\sigma(u, v) = \frac{1}{2\pi\sigma^2} e^{-\frac{u^2+v^2}{2\sigma^2}}$

derivative of Gaussian  $\frac{\partial}{\partial x} h_\sigma(u, v)$

Laplacian of Gaussian  $\nabla^2 h_\sigma(u, v)$

•  $\nabla^2$  is the Laplacian operator:

$$\nabla^2 f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$$

Two commonly used discrete approximations to the Laplacian filter

0	-1	0
-1	4	-1
0	-1	0

-1	-1	-1
-1	8	-1
-1	-1	-1

Slide credit: Steve Seitz

### Preview

[From recent Yann LeCun slides]

Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

Fei-Fei Li & Andrej Karpathy & Justin Johnson Lecture 7 - 19 27 Jan 2016

### Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently:

Fei-Fei Li & Andrej Karpathy & Justin Johnson Lecture 7 - 54 27 Jan 2016

